

**ANNUAL REPORT
OF THE
MINNESOTA
CLIENT SECURITY BOARD**

**STUART T. WILLIAMS
CHAIR**

**220 South Sixth Street
Suite 1800
Minneapolis, MN 55402-4503
(612) 252-2814**

**SUSAN M. HUMISTON
DIRECTOR**

**1500 Landmark Towers
345 St. Peter Street
St. Paul, MN 55102-1218
(651) 296-3952**

July 2020

TABLE OF CONTENTS

	<u>Page</u>
I. YEAR IN REVIEW	1
II. THE CLIENT SECURITY BOARD AND ITS PROCEDURES	1
III. GOALS AND OBJECTIVES	6

APPENDICES

1. REIMBURSEMENTS AND LOSSES CLAIMED	A. 1-A. 2
2. CLIENT SECURITY FUND FINANCIAL HISTORY	A. 3
3. LIST OF CURRENT AND FORMER BOARD MEMBERS	A. 4
4. CLAIMS PAID AND AMOUNTS PER ATTORNEY	A. 5-A. 11
5. COURT'S ORDER WITH AMENDMENTS TO RULE 1.09	A. 12

I. YEAR IN REVIEW.

Rule 1.10, Rules of the Minnesota Client Security Board, provides:

At least once a year and at such other times as the Supreme Court may order, the Board shall file with the Court a written report reviewing in detail the administration of the Fund, its operation, its assets and liabilities.

This thirty-third annual report of the Minnesota Client Security Board covers the Board's fiscal year, FY2020, which began July 1, 2019, and ended on June 30, 2020.

The Client Security Board approved five (5) claims this year involving two (2) attorneys, in the total amount of \$21,500 (*see* Appendix 1, A. 1-2). These claims involved the following attorneys:

<u>Attorney</u>	<u>No. of Claims</u>	<u>Amount</u>
Murad M. Mohammad	4	\$20,500.00
Ignatius C. Udeani	1	\$1,000.00

Twenty (20) new claims were filed this fiscal year. Twelve (12) claims were carried over from the previous year. In addition to the five (5) claims which were approved for payment, eighteen (18) claims were denied. At the end of June 2020, ten (10) claims against six (6) different lawyers remain pending before the Board. In most instances, related disciplinary or civil proceedings are pending, completion of which the Board is awaiting prior to being able to resolve the claim. Overall, after 33 years of approving claims, the Board has now approved payment of \$8,615,613.67 on 686 claims against 191 lawyers (*see* Appendix 4, A. 5-11).

The Fund continues to be fiscally healthy.

II. THE CLIENT SECURITY BOARD AND ITS PROCEDURES

Board Members. As of June 30, 2020, the following individuals served on the Board (*see* Appendix 3, A. 4):

<u>Name</u>	<u>City</u>	<u>Term Expires</u>
Stuart T. Williams, Chair	Minneapolis	June 30, 2020 (second term)
Timothy H. Baland	Anoka	June 30, 2021 (first term)
Nancy L. Helmich	Minneapolis	June 30, 2022 (second term)
Sharon G. Hobbs	Sartell	June 30, 2021 (first term)
Paul J. Lehman	Minnetonka	June 30, 2020 (first term)
Cheryl M. Prince	Duluth	June 30, 2020 (first term, partial)
Nathan B. Shepherd	Minneapolis	June 30, 2022 (first term)

Stuart Williams, a private attorney from Minneapolis, Minnesota, served as the Board's Chair for the past year. Mr. Williams' tenure with the Board concluded by rule on June 30, 2020, and the Board elected Nancy L. Helmich as its new Chair at the June 22, 2020, fiscal year-end meeting. Mr. Williams' dedication to the lawyer discipline and client protection systems was evidenced by his insightful leadership as Board Chair, his thoughtful consideration of claims, and his active involvement at the Board's quarterly meetings. Timothy Baland, Sharon Hobbs and Cheryl Prince were appointed to the Board during the current fiscal year.

The MSBA nominates three of the five attorney members. Mr. Baland and Mr. Shepherd remain as the MSBA nominees following Mr. Williams' departure. The Board looks forward to receiving the MSBA's nomination of a replacement for Mr. Williams. Ms. Helmich and Mr. Lehman are the Board's current public members. The Board's liaison justice from the Court was Justice David L. Lillehaug, who will be stepping down from the position upon his retirement from the Court effective July 31, 2020. Justice Natalie Hudson will succeed Justice Lillehaug as liaison.

Funding and Budget Procedures. Beginning in FY20, the \$6 lawyer registration fee previously allocated to the CSB was reallocated to the Lawyers Professional Responsibility Board. The CSB allocation had been reduced in 2017 as well. In 1998, the Supreme Court recommended an informal range for the balance of the Fund of \$1.5 million and \$2.5 million, with the Board to report to the Court if the Fund falls or is

projected to fall outside of these figures. The Board projects a fiscal year-end balance of approximately \$4 million in June 2020. This is above the Court's recommended parameters and has been reported to the Court. In June 2019, the Court approved a transfer of up to \$1 million to the Lawyers Professional Responsibility Board to shore up depleted LPRB reserves and in order to avoid the need to raise lawyer registration fees in 2019. The Court will determine when any such transfer is necessary while maximizing interest income for the Fund.

The Board does not directly handle any funds or the investment of the Fund. The assessment is collected through the Office of Lawyer Registration and placed into a segregated fund within the State Treasury. In FY20, the Board received approximately \$72,000 in interest income and approximately \$50,000 in restitution payments from lawyers whose conduct led to claims.

Based upon the information presently available to the Board concerning pending claims or known potential claims as well as historical budgeting practices, the Board budgeted \$350,000 for claim payments next year. The Board has budgeted \$83,150 for all non-claim expenses, including staff services, supplies, and representation at national client protection conferences. Also included in this amount is funding for a new website, which has been deferred to FY21. In most years, the Board has spent less than the budgeted amount on non-claim expense items. (Appendix 2, A. 3.)

Administration. The Office of Lawyers Professional Responsibility provides staff services to the Client Security Board. Susan M. Humiston continues to serve as Director of the Client Security Board, and was reappointed to this position for an additional two-year term in FY20. With the assistance of the Director, paralegal Julie Staum handles the day-to-day operations of the Fund including contact with respondents and claimants and investigation of claims. Office of Lawyers Professional

Responsibility staff also provide administrative and bookkeeping services to the Board at no cost.

Following each meeting, the Board issues a press release regarding claims approved pursuant to the Board's policy. The press releases are also posted on the Board's website to provide public information about the Board's activities at <http://csb.mncourts.gov>. The website also contains answers to frequently asked questions (FAQs), the Board's rules, the claim form, staff directory, copies of the current and past annual reports and an updated list of attorneys against whom claims have been paid, similar to that at Appendix 4 (A. 5-11). The website also has links to articles written about the Client Security Board. As noted, the website is scheduled for a complete update as it is not mobile friendly.

In FY20, the Board petitioned the Court for amendments to Rule 1.09, Rules of the Minnesota Client Security Board (RMCSB). The petition sought to amend the rule to address certain ambiguities relating to confidentiality of Board files. By order dated February 25, 2020, the Court granted the petition, with the change effective July 1, 2020. A copy of the Court's order and revised rule can be found at Appendix 5 (A. 12-13).

The Minnesota Attorney General provides legal services to the Client Security Board in enforcing its subrogation rights against attorneys where claims have been paid, or against any third persons from whom payments may be legally obtained. Assistant Attorney General Scott Grosskreutz represents the Board in subrogation matters. The Board pays no attorney fees for this representation, but is responsible for direct costs of collection efforts and litigation. Where appropriate, the Attorney General also assists the Board in being listed as a victim in criminal restitution orders. The Board thanks the Minnesota Attorney General for its excellent representation of the Board.

Also as a part of the collection process, the Board may forward matters to the Minnesota Department of Revenue (MDOR), which has a division created to assist government agencies in their collection work. Although the Attorney General continues to handle most matters for the Board that are contested, or that appear capable of prompt resolution, other matters are referred to MDOR.

Claims Procedure. Claims are initiated by the submission of a claim to the Director's Office on forms approved by the Board. The forms are provided to the claimant along with a brochure which explains the process. The claim form, brochure and copies of the Board's rules are also available via the Board's website. The claim form and brochure are available in English, Spanish, Hmong, Karen and Somali, and may be translated to other languages as necessary.

After a claim is submitted, the respondent attorney is provided an opportunity to respond in writing. The Board also has access to all lawyer disciplinary files, from which considerable information may be obtained.

The rules provide that claimants are expected to pursue reasonably available civil remedies. In order to avoid hardship and provide prompt claim resolution and payment, the Board may exercise its discretion by waiving this requirement when it is already known that the Attorney General will be pursuing litigation against the attorney under the Board's subrogation rights.

Generally, attorney disciplinary proceedings against the respondent attorney will have been completed before any Client Security Fund payment is made. The Board relies heavily, although not exclusively, upon findings made in related lawyer disciplinary actions, or in related civil or criminal cases, whenever possible. The Board has no aggregate limit on the amount that may be paid per lawyer. The maximum

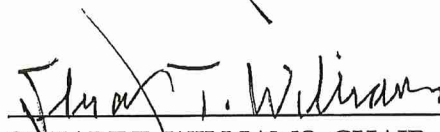
payment per claim is \$150,000, one of the highest limits in the country. It appears to remain a sufficient maximum amount at this time.

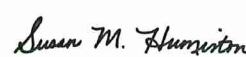
If a claim is denied, the claimant and respondent are notified in writing of the Board's determination and reasoning. The claimant has the right to request reconsideration and a discretionary meeting with the Board, so that all claimants have a full opportunity to present the merits of their claim.

III. GOALS AND OBJECTIVES

No major funding issues are anticipated this coming year, even considering reallocation (at a date as yet to be determined) of a large portion of the funding reserve. The Board has experienced members who continue to provide sound leadership, and the amount of funds in reserve appears to be sufficient to provide a generous pool from which qualifying claims can be satisfied. The Board has successfully caught up on its claims, with the claims that remain pending being new claims, or claims where related disciplinary proceedings are pending. With claims processing current, the Board continues to monitor the efficacy of collection efforts against lawyers owing money to the Fund. With the website refresh, the Board plans to take the opportunity to refresh outward facing materials to ensure clarity of communications with the public regarding the purposes and availability of the Fund.

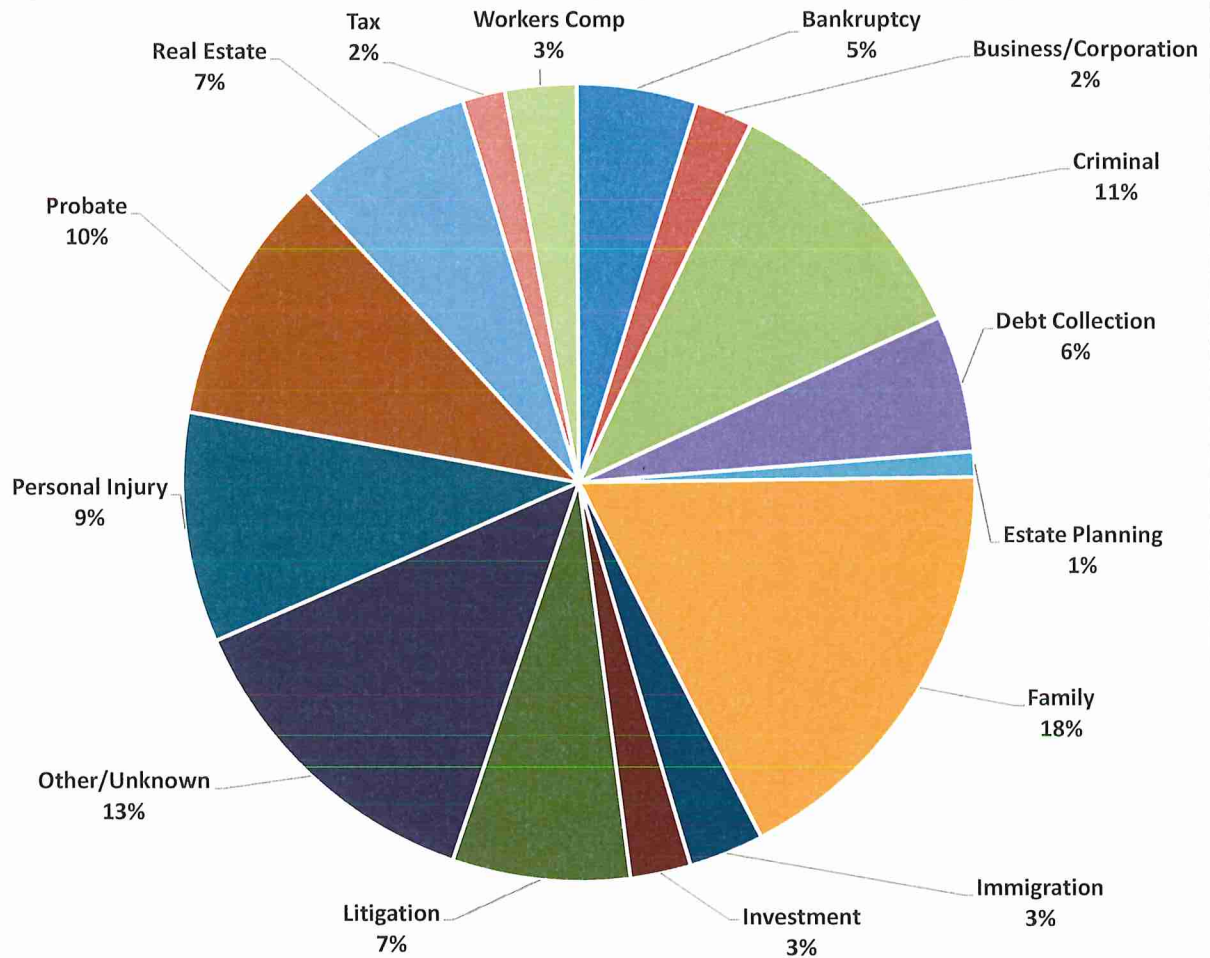
Respectfully submitted,


STUART T. WILLIAMS, CHAIR
MINNESOTA CLIENT SECURITY BOARD

 Humiston, Susan
Jul 1 2020 12:54 PM
SUSAN M. HUMISTON, DIRECTOR
MINNESOTA CLIENT SECURITY BOARD

Awards of Reimbursement - July 1, 1987, through June 30, 2020

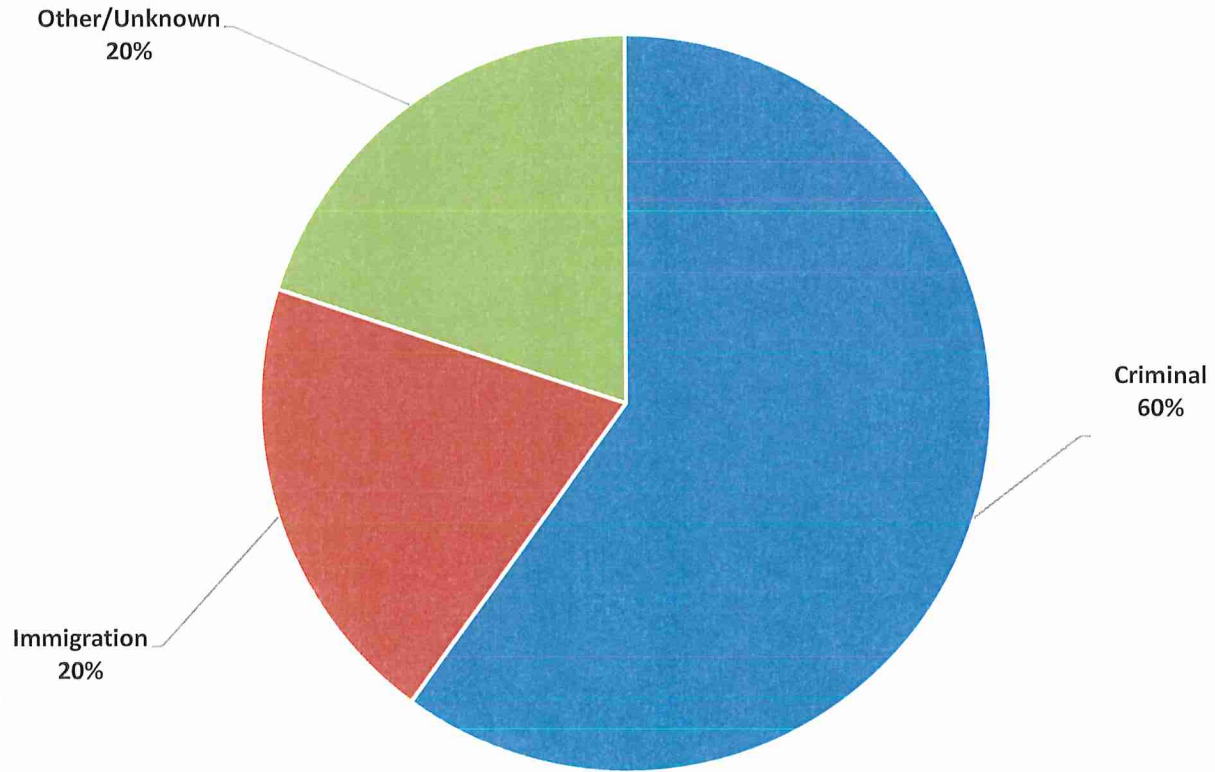
This table summarizes, by area of law, all claims for reimbursement approved by the Board since 1987.



Area of Law	Sum of Awards	Amount of Awards
Bankruptcy	34	\$160,727.61
Business/Corporation	16	\$253,107.40
Criminal	75	\$357,856.48
Debt Collection	38	\$232,607.30
Estate Planning	7	\$84,951.68
Family	121	\$605,500.85
Immigration	21	\$41,490.00
Investment	17	\$1,154,609.47
Litigation	50	\$470,149.42
Other/Unknown	91	\$610,505.27
Personal Injury	64	\$816,995.58
Probate	70	\$2,471,273.01
Real Estate	50	\$835,162.62
Tax	12	\$51,312.28
Workers Comp	20	\$469,364.70
Grand Total	686	\$8,615,613.67

Awards of Reimbursement - July 1, 2019, through June 30, 2020

This table summarizes, by area of law, all claims for reimbursement approved by the Board during fiscal year 2020.



Area of Law	Sum of Awards	Amount of Awards
Criminal	3	\$14,500.00
Immigration	1	\$1,000.00
Other/Unknown	1	\$6,000.00
Grand Total	5	\$21,500.00

CLIENT SECURITY FUND FINANCIAL HISTORY

Fiscal Year	Contribution by Bar	Investment Income	Restitution	Number of Claims Paid	Amt. Paid to Claimants	Other Expenses	Balance Year End
2003	\$322,891	\$61,824	\$22,994	27	\$140,272	\$35,028	\$2,803,258
2004	\$252,034	\$32,351	\$87,177	31	\$759,150	\$68,486	\$2,347,184
2005	\$253,728	\$53,390	\$31,018	7	\$195,025	\$37,885	\$2,452,410
2006	\$255,894	\$110,597	\$87,217	17	\$234,848	\$44,375	\$2,626,895
2007	\$288,725	\$148,689	\$73,744	2	\$135,417	\$52,996	\$2,949,637
2008	\$320,403	\$147,062	\$135,226	9	\$168,905	\$63,134	\$3,320,289
2009	\$49,419	\$90,905	\$128,285	15	\$120,656	\$47,736	\$3,420,506
2010	\$15,976	\$30,574	\$68,558	4	\$305,500	\$46,854	\$3,183,261
2011	\$353,085	\$18,996	\$34,977	23	\$58,156	\$55,038	\$3,477,125
2012	\$330,519	\$18,786	\$62,523	21	\$117,620	\$56,108	\$3,715,293
2013	\$345,109	\$20,016	\$31,401	27	\$383,002	\$37,810	\$3,691,007
2014	\$354,256	\$18,050	\$37,951	18	\$383,712	\$61,898	\$3,655,654
2015	\$351,384	\$19,199	\$55,427	12	\$137,786	\$91,151	\$3,852,727
2016	\$355,992	\$27,033	\$43,441	11	\$53,516	\$67,526	\$4,160,401
2017	\$350,556	\$42,083	\$40,058	66	\$414,721	\$62,333	\$4,119,044
2018	\$178,275	\$62,380	\$31,514	29	\$363,564	\$53,660	\$3,976,990
2019	\$179,292	\$91,139	\$73,685	7	\$389,645	\$32,523	\$3,898,938
2020 (thru 6/2/2020)	\$9,570	\$62,602	\$42,988	5	\$14,500	\$14,325	\$3,993,273

Minnesota Client Security Board Members

1987-2020

BOARD MEMBERS

*Kathleen Clarke Anderson	Minneapolis	2010-2017
*Sister Mary Madonna Ashton	St. Paul	1992-1998
Timothy H. Baland	Anoka	2018-present
Dana Banwer	Minneapolis	2011-2014
Robert B. Bauer	Apple Valley	2013-2019
Gregory M. Bistram	Minneapolis	2014-2019
Bailey W. Blethen	Mankato	1991-1997
Daniel L. Bowles	Edina	1994-2000
*Sandra Brown	Minnetonka	1990-1996
Kim Buechel Mesun	St. Paul	1993-1999
Kenneth D. Butler	Duluth	2008-2014
Richard I. Diamond	Minnetonka	1997-2003
Gary G. Fuchs	Eagan	2002-2008
Amber N. Garry	Minneapolis	2015-2017
Gilbert W. Harries	Duluth	1987-1991
*Sheldyn M. Himle	Minneapolis	2008-2010
*Nancy L. Helmich	St. Paul	2016-present
Sharon G. Hobbs	Sartell	2018-present
*Jean L. King	St. Paul	1987-1992
Kevin J. Kolosky	Minneapolis	2017-2018
Timothy J. Kuntz	South St. Paul	1996-2002
Earle F. Kyle IV	Minneapolis	1993-1996
*Paul J. Lehman	Minnetonka	2017-present
Robert T. Lund	Bloomington	2003-2009
*Beverly K. McKinnell	St. Paul	1996-2002
*Mary L. Medved	Shoreview	2010-2016
Richard A. Nethercut	Harmony	2006-2012
Timothy M. O'Brien	Minneapolis	2007-2013
Melvin I. Orenstein	Minneapolis	1987-1993
*Constance S. Otis	St. Paul	1987-1990
Paul C. Peterson	Minneapolis	2009-2015
*Judith A. Pinke	Minneapolis	1998-2004
Cheryl M. Prince	Duluth	2019-present
Michael T. Rengel	Fergus Falls	2001-2007
*Bonnie R. Russ	St. Paul	2004-2010
Daniel L. Rust	Crookston	1995-2001
Warren R. Sagstuen	Minneapolis	2000-2000
*Sally D. Sawyer	Minneapolis	2002-2008
Nathan B. Shepherd	Minneapolis	2019-present
Ronald B. Sieloff	St. Paul	1987-1994
Harriet J. Sims	Minneapolis	2005-2011
Daniel A. Tollefson	Becker	2012-2018
James B. Vessey	Minneapolis	1987-1993
Nancy L. Vollertsen	Rochester	1987-1995
John S. Watson	Minneapolis	2000-2006
Margaret L. Westin	Minneapolis	1999-2005
Stuart T. Williams	Minneapolis	2014-present

*Public Members

CSB Claims by Attorney (Approved)

Respondent	Number of Claims	Approved Amount	Disciplinary Action	City
Aase, William J.	19	\$93,319.50	Deceased	Maplewood
Amdahl, Charles O.	2	\$10,000.00	None	Minneapolis
Anderson, Arne D.	4	\$4,950.00	Suspended	Duluth
Anderson, Harold W. E.	2	\$39,258.97	Disbarred	Grand Forks
Andrew, John M.	2	\$100,000.00	Disbarred	Shoreview
Barta, Loren M.	3	\$8,947.93	Suspended	Prior Lake
Batdorf, Richard K.	1	\$50,000.00	Disbarred	Minneapolis
Belfay, Roger Lincourt	1	\$3,600.00	Deceased	St. Paul
Benson, John T.	1	\$50,000.00	Disbarred	St. Paul
Berg, James L.	8	\$73,529.03	Disbarred	Minneapolis
Berndt, Deno W.	16	\$588,012.94	Disbarred	Minneapolis
Bieter, Thomas	2	\$1,500.00	Disability/Inactive	Duluth
Brehmer, David L.	7	\$7,656.25	Disbarred	Bloomington
Brost, Linda A.	1	\$10,000.00	Disbarred	St. Paul
Brudvig, Thomas M.	4	\$3,365.00	Suspended	Roseville
Buchanan, Shana Gail	4	\$16,100.00	Disability/Inactive	St. Paul
Campbell, Dyan L.	5	\$2,953.53	Suspended	North St. Paul
Carpenter, Gregory A.	1	\$1,000.00	Suspended	Minneapolis
Chacon, Jeanne T.	1	\$700.00	Disbarred	Shakopee
Clark, Jill E.	1	\$1,000.00	Disability/Inactive	Golden Valley
Cohen, Sr., Edward M.	1	\$2,245.83	Disbarred	St. Louis Park
Coleman, Richard J.	1	\$1,000.00	Public Reprimand/Probation	West St. Paul
Corbin, Michael J.	1	\$1,250.00	Deceased	Faribault
Crissey, Heidi	1	\$3,000.00	Suspended	Stillwater
Danna, Anthony A.	3	\$81,625.00	Disbarred	St. Paul
Davis, Daniel A.	3	\$44,486.66	Disbarred	Edina
Day, Richard G.	2	\$1,000.00	Suspended	Edina
DeRycke, Eric A. L.	1	\$2,000.00	Disbarred	Lake Benton
Douglas, Bruce C.	11	\$225,309.60	None	Edina

CSB Claims by Attorney (Approved) (Cont'd.)

Respondent	# of Claims	Approved Amount	Disciplinary Action	City
Dovolis, Helen A.	16	\$78,317.19	Disbarred	Minneapolis
Drummer, Mary Ellen	2	\$4,856.83	Deceased	Bloomington
Duchon, James Carl	3	\$2,900.00	Suspended	Edina
Dygert, Robert W.	3	\$70,491.00	Disbarred	Minneapolis
Engwall, Gregory B.	6	\$474,262.18	None	Winthrop
Erickson, Bruce E.	2	\$1,995.00	Suspended	Winona
Feldman, John H.	2	\$12,954.00	Disbarred	Minneapolis
Flanagan, John J.	6	\$113,626.59	Disbarred	St. Paul
Flodine, Michael T.	1	\$3,000.00	Suspended	St. Paul
Fox, Kristi Ann	1	\$2,527.00	Disability/Inactive	Eagan
Frants, Michael	3	\$29,827.72	Disbarred	Minneapolis
Freeman, Theresa A.	2	\$3,587.50	Suspended	Edina
French, Rodney M.	6	\$4,062.50	Suspended	Minneapolis
Fru, Joseph Awah	1	\$1,600.00	Disbarred	Fridley
Garcia, Albert A., Jr.	11	\$34,840.00	Disbarred	Minneapolis
Getty, Paris DonRay	5	\$24,278.00	Disbarred	St. Paul
Goldstein, Robert Mark	4	\$11,173.40	Disbarred	St. Paul
Gomsrud, Richard G.	1	\$700.00	Suspended	St. Paul
Gorshteyn, Boris A.	3	\$21,105.79	Suspended	Excelsior
Graham, Timothy E.	3	\$6,257.98	Disbarred	Rochester
Gronbeck, David	1	\$500.00	Suspended	Minneapolis
Grzybek, John E.	1	\$750.00	Disbarred	St. Paul
Gurstel, Norman K.	33	\$147,270.05	Disbarred	Edina
Haefele, Richard J.	1	\$400.00	None	Chaska
Handorff, Thomas Francis	23	\$41,661.23	Deceased	Minneapolis
Hansen, Dale Allen	2	\$26,809.00	Disbarred	Anoka
Hanvik, James T.	1	\$264.00	Suspended	Minneapolis
Harnois, Michael D.	2	\$1,999.00	Disability/Inactive	Duluth
Harp, Reynaud L.	2	\$3,702.00	Disbarred	St. Paul
Harrigan, Thomas G.	2	\$131,356.46	Disbarred	Edina
Hatling, John Allen	3	\$7,721.65	Deceased	Fergus Falls

CSB Claims by Attorney (Approved) (Cont'd.)

Respondent	# of Claims	Approved Amount	Disciplinary Action	City
Heikens, Steven G.	2	\$12,800.00	Suspended	Minneapolis
Heikkila, Neil D.	2	\$90,916.82	Disbarred	Hopkins
Hendricksen, Harald F.	2	\$17,875.00	Suspended	Annandale
Henke, David E.	1	\$1,000.00	Suspended	Spring Lake Park
Henline, Roy B.	4	\$232,041.54	Disbarred	White Bear Lake
Hobbs, Nathaniel P.	4	\$5,701.00	Suspended	Minneapolis
Hollender, R. Fred	1	\$2,227.74	None	Minneapolis
Holt, Mark David	4	\$468,933.12	Disbarred	North Oaks
Hoover, Michael J.	1	\$1,500.00	Disability/Inactive	Minneapolis
Hulstrand, Jr., George E.	2	\$2,600.00	Disbarred	Willmar
Hummel, Tucker Joseph	1	\$10,794.04	Disbarred	Bloomington
Hunter, James W.	5	\$21,900.00	Disbarred	Minneapolis
Isaacs, Clark F.	1	\$535.78	Disbarred	St. Paul
Jellinger, Richard	1	\$1,000.00	Disbarment (stayed) Suspended	Anoka
Joanis, William J.	1	\$91,166.31	None	Minneapolis
Johnson, Richard W.	2	\$9,362.00	Disbarred	Red Wing
Johnson, Ronald J.	1	\$7,196.71	Disbarred	Hopkins
Jones, Matthew Harvey	1	\$3,500.00	Suspended	Chanhassen
Kadinger, George M.	2	\$1,500.00	Suspended	St. Paul
Karlsen, Bent	2	\$4,200.00	Suspended	Detroit Lakes
Kaszynski, William P.	1	\$550.00	Disbarred	St. Paul
Kerschbaum, Anthony J.	3	\$2,000.00	Suspended	Minneapolis
Kinnunen, Steven J.	1	\$500.00	Suspended	Minneapolis
Klane, Murray R.	1	\$94,647.88	Disbarred	Minnetonka
Kramer, Jeremy Thomas	1	\$83.58	Disbarred	Owatonna
LaChapelle, Arthur W.	2	\$18,400.00	Disbarred	St. Paul
Ladd, William L.	13	\$49,542.60	Disbarred	Minneapolis
Larsen, Dean D.	1	\$40,000.00	Disbarred	Eden Prairie
Larson, Gregory K.	2	\$3,250.00	None	Little Falls
Leino, Stanley J.	6	\$9,921.06	Suspended	Scandia

CSB Claims by Attorney (Approved) (Cont'd.)

Respondent	# of Claims	Approved Amount	Disciplinary Action	City
Levenstein, Eli C.	1	\$368.00	Suspended	Minneapolis
Logan, Diana S.	3	\$560.00	Suspended	Minneapolis
Lohse, David Jason	1	\$900.00	Suspended	Golden Valley
Lundeen, Steven P.	6	\$5,574.00	Disbarred	Minneapolis
Magadance, Pamela M.	1	\$585.00	None	St. Paul
Marden, Steven K.	5	\$16,863.31	None	St. Paul
Maresh, Thomas F.	1	\$6,500.00	Disbarred	Eden Prairie
Marshall, Gary L.	7	\$24,170.00	Disbarred	Hoffman
Martinez, Michael Lee	1	\$2,500.00	Suspended	St. Paul
Matson, Jesse David	5	\$32,370.00	Disbarred	Detroit Lakes
McCarthy, Justin H.	2	\$58,679.24	Disbarred	St. Louis Park
McCormick, David L.	3	\$16,500.00	Suspended	Minneapolis
McGrath, F. Patrick	1	\$1,128.00	Suspended	St. Paul
McLean, Nathan K.	11	\$20,257.00	Suspended	St. Paul
McNabb, Gerald	13	\$147,866.06	Disbarred	St. Paul
Merlin, Carol Sue	1	\$500.00	Suspended	Minneapolis
Meshbesh, Richard M.	2	\$5,500.00	None	Minnetonka
Moe, Carlton E.	1	\$89,325.52	Disbarred	West St. Paul
Moe, Paul Arthur	1	\$15,957.28	Disbarred	Minnetonka
Mohammad, Murad Mowaffak	4	\$20,500.00	Disbarred	Minneapolis
Montgomery Montez, Angela	1	\$3,950.00	Suspended	Cottage Grove
Morgeson, Sr., Dennis John	8	\$547,922.67	Disability/Inactive	Edina
Mortensen, William C.	2	\$7,764.00	Disability/Inactive	St. Louis Park
Mose, William G.	2	\$400.00	Suspended	Bloomington
Mulvahill, James P.	1	\$15,000.00	Disbarred	Wayzata
Murphy, Gerald W.	9	\$4,980.99	Disbarred	Duluth
Nelson, John A.	3	\$245,024.03	Disbarred	Willmar
Norberg, Amy Beth	1	\$3,100.00	Suspended	Maple Grove
Olsen, Lawrence E.	1	\$50,000.00	Disbarred	Bloomington

CSB Claims by Attorney (Approved) (Cont'd.)

Respondent	# of Claims	Approved Amount	Disciplinary Action	City
Orlins, Peter I.	11	\$419,843.39	Disbarred	Richfield
Ornstein, Mitchell R.	1	\$919.75	Suspended	Minneapolis
Ostfield, Benjamin J.	3	\$15,297.72	Disbarred	Minneapolis
Ostroot, Timothy V.	1	\$1,200.00	Disbarred	Champlin
Palm, Dennis Lee	2	\$4,080.00	Disability/Inactive	Little Canada
Pang, Gary Y.	3	\$6,323.00	Disbarred	Minneapolis
Pearson, Kenneth R.	2	\$39,000.00	Disbarred	Golden Valley
Pegg, J. C.	1	\$2,500.00	Reprimanded	Owatonna
Petry, Rickie Leonard	1	\$2,000.00	Suspended	Minneapolis
Pierce, Brian T.	1	\$2,500.00	Disbarred	Lakefield
Pitzele, Mark D.	3	\$16,419.84	Disbarred	St. Louis Park
Ploetz, John W.	2	\$108,494.71	Disbarred	St. Paul
Plowman, George E.	4	\$81,144.77	Disbarred	Prior Lake
Polt, Thomas M.	3	\$17,082.02	Disbarred	Eyota
Poppe MacKenzie, Jill Alane	1	\$1,357.50	Suspended	Ohio
Pucel, Cherylyn T.	1	\$3,500.00	Suspended	Minneapolis
Pyles, David A.	1	\$16,450.00	Suspended	Bloomington
Rambow, Paul Roland	4	\$89,974.08	Disbarred	Minnetonka
Ramler, George C.	1	\$1,500.00	Disbarred	Chanhausen
Randall, Michael H.	2	\$4,708.00	Disbarred	Brooklyn Center
Rebeau, Gregory J.	1	\$3,911.50	Suspended	St. Paul
Redburn, David Timothy	2	\$3,100.00	Suspended	Brooklyn Park
Resnik, Ronald	17	\$69,836.00	Disbarred	Brooklyn Center
Revering, Calandra Faye	1	\$600.00	Suspended	Maple Grove
Rhodes, Bradley C.	2	\$1,850.00	Disbarred	Aitkin
Rhodes, Julia K. Satterlee	3	\$6,250.00	Suspended	Hopkins
Riggs, George C.	2	\$1,350.00	None	Blaine
Rondestvedt, Stephen J.	23	\$853,189.12	Disbarred	Minneapolis
Rothstein, Morry N.	3	\$7,500.00	Disbarred	Minneapolis
Rueb, Terry H.	1	\$15,000.00	Suspended	Burnsville

CSB Claims by Attorney (Approved) (Cont'd.)

Respondent	# of Claims	Approved Amount	Disciplinary Action	City
Ruttger III, Max J.	1	\$25,678.15	Disbarred	Brainerd
Rymanowski Jr., Joseph A.	4	\$7,862.00	Disbarred	St. Paul
Saltzstein, Geoffrey R.	2	\$56,235.00	Disbarred	Edina
Samborski, Steve C.	23	\$231,829.50	Disbarred	Minnetonka
Sampson, Mark A.	20	\$404,742.04	Disbarred	Fridley
Sayaovong, Amoun Vang	1	\$3,500.00	Disbarred	Milwaukee, WI
Schmitt, Martha G.	1	\$14,624.62	Suspended	Minneapolis
Scott, John O.	2	\$57,821.34	None	Perham
Seiler, Victor P.	1	\$2,810.77	None	Minneapolis
Sheffey, Ralph E.	1	\$5,000.00	Suspended	Rochester
Simonet, William B.	5	\$50,411.56	Disbarred	Stillwater
Simonson, Paul L.	1	\$2,360.23	Disbarred	Minneapolis
Singer, Michael G.	1	\$63,000.00	Suspended	Minneapolis
Skonnord, James T.	5	\$2,349.26	Suspended	St. Paul
Smith, Glenn L.	3	\$139,391.05	Disbarred	Edina
Smith, Thomas Tippet	1	\$141.50	Suspended	Bemidji
Soderberg, James W.	1	\$557.87	Suspended	Winona
Soronow, Steven F.	18	\$28,180.00	Suspended	Minneapolis
Stockman, William L.	1	\$25,000.00	Disbarred	Duluth
Stottler, Travis D.	3	\$18,262.00	Suspended	Lino Lakes
Strid, Dennis W.	1	\$1,197.00	Suspended	Minneapolis
Sullivan, Kevin P.	1	\$200.00	Suspended	Elk River
Swerine, Brian A.	8	\$23,645.40	Disbarred	Brainerd
Swokowski, Jay G.	4	\$7,724.50	Disbarred	Anoka
Tackett, John Wade	1	\$4,500.00	Deceased	Minneapolis
Thompson, Joel R.	2	\$6,160.00	Suspended	Detroit Lakes
Udeani, Ignatius C.	1	\$1,000.00	None	Bloomington
Ulanowski, Lawrence W.	2	\$2,249.00	Disbarred	Brainerd
Ulstad, Bjorn	1	\$2,500.00	None	St. Paul
Van House, David J.	1	\$300.00	Suspended	Shoreview

CSB Claims by Attorney (Approved) (Cont'd.)

Respondent	# of Claims	Approved Amount	Disciplinary Action	City
Vaught, Samuel M.	2	\$85,685.71	Suspended	St. Paul
Verbrick, Michael D.	2	\$5,000.00	Disability/Inactive	St. Paul
Vinitsky, Richard S.	2	\$20,000.00	Disability/Inactive	St. Paul
Voss, Barry V.	4	\$37,400.00	Disbarred	Edina
Walker Jr., Samuel	5	\$19,945.00	Disbarred	St. Paul
Weber, Deborah A.	1	\$1,300.00	None	Minneapolis
Weems, Mark T.	7	\$70,901.64	Disbarred	Shoreview
Weisberg, Robert Scott	2	\$9,848.52	Suspended	St. Louis Park
Wheat, Donald A.	4	\$106,141.37	Disbarred	Eagan
White, Thomas Joseph	2	\$13,400.00	Disbarred	Minneapolis
Wolff, Erin Marie	1	\$100.00	Disbarred	Eden Prairie
Woroby, Maria Katherine	5	\$14,490.00	Disability/Inactive	St. Paul
Wyant, Bruce P.			Disbarred	<i>see Morgeson</i>
Wylde, John R.	1	\$3,775.00	None	Minneapolis
Total Respondents 191	686	\$8,615,613.67		

FILED

February 25, 2020

**OFFICE OF
APPELLATE COURTS**

STATE OF MINNESOTA

IN SUPREME COURT

ADM10-8005

**ORDER PROMULGATING AMENDMENTS TO THE
RULES OF THE MINNESOTA CLIENT SECURITY BOARD**

The Client Security Board filed a petition on November 15, 2019, proposing amendments to Rule 1.09 of the Rules of the Minnesota Client Security Board, which governs the confidentiality of records of the Board. The Board's proposed amendments are intended to clarify the public or non-public status of materials pertaining to the work of the Board in considering and deciding upon a claim. The Board reviewed the proposed amendments with the rules committee of the Minnesota State Bar Association, which had no objections. We opened a public comment period. No comments were filed.

Based upon all the files, records, and proceedings herein,

IT IS HEREBY ORDERED that the attached amendments to Rule 1.09 of the Rules of the Minnesota Client Security Board are adopted and effective as of July 1, 2020. The rule as amended shall apply to any claim pending on, or filed on or after, the effective date.

Dated: February 25, 2020

BY THE COURT:



Lorie S. Gildea
Chief Justice

**AMENDMENTS TO THE RULES OF THE MINNESOTA CLIENT SECURITY
BOARD**

[Note: In the following amendments, deletions are indicated by a line drawn through the words and additions are indicated by a line drawn under the words.]

I. RULES GOVERNING THE CLIENT SECURITY BOARD

* * *

RULE 1.09. CONFIDENTIALITY

Claims, proceedings, records, and reports involving claims for reimbursement are confidential ~~until the Board authorizes reimbursement to the claimant~~, except as provided below.

a. After ~~authorization payment~~ of the reimbursement, the Board shall publicize the nature of the claim, the amount of reimbursement and the name of the lawyer. The name and the address of the claimant shall not be publicized by the Board unless specific permission has been granted by the claimant.

b. This Rule shall not be construed to deny access to relevant information by professional disciplinary, law enforcement, or client security agencies in furtherance of their duties. The Board may also use such relevant information as is necessary to pursue subrogation rights pursuant to Rule 3.17. ~~or other law enforcement authorities as the Board shall authorize the release of statistical information which does not disclose the identity of the lawyer or the parties.~~

c. Statistical information such as the number of claims presented to the Board, the number and amount of claims paid, the restitution collected from each attorney, the subrogation lawsuits filed, and the amount in the Fund shall be public information.